

Agenda

Meeting: Audit Committee

Venue: Grand Meeting Room, County Hall, Northallerton

Date: Thursday 25 September 2014 at 1.30pm

Note: Members are invited to attend a seminar concerning Governance and the Statement of Accounts at 1.00 pm in the Grand Meeting Room.

Recording is allowed at County Council, committee and sub-committee meetings which are open to the public, subject to:- (i) the recording being conducted under the direction of the Chairman of the meeting; and (ii) compliance with the Council's protocol on audio/visual recording and photography at meetings, a copy of which is available to download below. Anyone wishing to record must contact, prior to the start of the meeting, the Officer whose details are at the foot of the first page of the Agenda. Any recording must be clearly visible to anyone at the meeting and be non-disruptive. <http://democracy.northyorks.gov.uk/>

Business

1. **Minutes of the meeting held on 17 July 2014.**

(Pages 1 to 10)

2. **Public Questions or Statements.**

Members of the public may ask questions or make statements at this meeting if they have given notice to Ruth Gladstone of Democratic Services (*contact details below*) by midday on Monday 22 September 2014. Each speaker should limit themselves to 3 minutes on any item. Members of the public who have given notice will be invited to speak:-

- at this point in the meeting if their questions/statements relate to matters which are not otherwise on the Agenda (subject to an overall time limit of 30 minutes);
- when the relevant Agenda item is being considered if they wish to speak on a

matter which is on the Agenda for this meeting.

If you are exercising your right to speak at this meeting, but do not wish to be recorded, please inform the Chairman who will instruct those taking a recording to cease while you speak.

3. **Progress on Issues Raised by the Committee** – Joint report of the Corporate Director – Strategic Resources and the Assistant Chief Executive (Legal and Democratic Services).
(Pages 11 to 14)
4. **Report to Members on the 2013/14 Audit by the External Auditor:-**
 - (a) **North Yorkshire Pension Fund** - The report of the External Auditor.
(Pages 15 to 43)
 - (b) **North Yorkshire County Council** - The report of the External Auditor.
(Pages 44 to 71)
5. **North Yorkshire Pension Fund Annual Report 2013/14** – Report of the Corporate Director – Strategic Resources and Treasurer to the Pension Fund.
(Pages 72 to 162)
6. **Review of Statement of Final Accounts (incorporating Annual Governance Statement)** - Report from Audit Committee Members' Working Group.
(Pages 163 to 166)
7. **Statement of Final Accounts for 2013/14 including Letter of Representation** - Report of the Corporate Director – Strategic Resources.
(Pages 167 to 178)
(Statement of Final Accounts booklet collated separately)
8. **Annual Report of the Audit Committee** - Report of the Chairman of the Audit Committee.
(Pages 179 to 186)
9. **Internal Audit Work and Related Internal Control Matters for the Health and Adult Services Directorate**
 - (a) Report of the Head of Internal Audit.
(Pages 187 to 197)
 - (b) Report of the Corporate Director – Health and Adult Services.
(Pages 198 to 219)
10. **Internal Audit Report on Information Technology, Corporate Themes and Contracts** - Report of the Head of Internal Audit.
(Pages 220 to 243)
11. **Programme of Work** – Report of the Corporate Director – Strategic Resources.
(Page 244)
12. **Other business which the Chairman agrees should be considered as a matter of urgency because of special circumstances.**

Barry Khan
Assistant Chief Executive (Legal and Democratic Services)

County Hall
Northallerton

17 September 2014

Notes:

- (a) Members are reminded of the need to consider whether they have any interests to declare on any of the items on this agenda and, if so, of the need to explain the reason(s) why they have any interest when making a declaration.

The relevant Democratic Support Officer or Monitoring Officer will be pleased to advise on interest issues. Ideally their views should be sought as soon as possible and preferably prior to the day of the meeting, so that time is available to explore adequately any issues that might arise.

- (b) **Emergency Procedures for Meetings**

Fire

The fire evacuation alarm is a continuous Klaxon. On hearing this you should leave the building by the nearest safe fire exit. From the **Grand Meeting Room** this is the main entrance stairway. If the main stairway is unsafe use either of the staircases at the end of the corridor. Once outside the building please proceed to the fire assembly point outside the main entrance

Persons should not re-enter the building until authorised to do so by the Fire and Rescue Service or the Emergency Co-ordinator.

An intermittent alarm indicates an emergency in nearby building. It is not necessary to evacuate the building but you should be ready for instructions from the Fire Warden.

Accident or Illness

First Aid treatment can be obtained by telephoning Extension 7575.

AUDIT COMMITTEE

1. Membership

County Councillors (8)							
	<i>Councillors Names</i>				<i>Political Party</i>		
1	ATKINSON, Margaret		(Vice-Chairman)		Conservative		
2	BACKHOUSE, Andrew		(Chairman)		Conservative		
3	BROADBENT, Eric				Labour		
4	CHANCE, David				Conservative		
5	CLARK, Jim				Conservative		
6	GRANT, Helen				NY Independent		
7	HOULT, Bill				Liberal Democrat		
8	JORDAN, Mike				Conservative		
Members other than County Councillors (3)							
1	Vacancy						
2	Vacancy						
3	Vacancy						
Total Membership – (11)				Quorum – (3) County Councillors			
Con	Lib Dem	NY Ind	Labour	Liberal	UKIP	Ind	Total
5	1	1	1	0	0	0	

2. Substitute Members

Conservative		Liberal Democrat	
	<i>Councillors Names</i>		<i>Councillors Names</i>
1	FORT, John BEM	1	De COURCEY-BAYLEY, Margaret-Ann
2	HARRISON-TOPHAM, Roger	2	
3	SANDERSON, Janet	3	
4	METCALFE, Chris	4	
5		5	
NY Independent		Labour	
	<i>Councillors Names</i>		<i>Councillors Names</i>
1	BLACKIE, John	1	SHAW-WRIGHT, Steve
2	JEFFERSON, Janet	2	
3		3	
4		4	
5		5	